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PATENT  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
**John C. Harvey and James W. Cuddihy:**

Serial No.: 08/447,974

Filed: May 23, 1995

For: **SIGNAL PROCESSING APPARATUS  
AND METHODS**

Group Art Unit: 2737

Examiner: FAILE, A.

Attorney Docket: 05634.0145

**ATTN: APPLICATION PROCESSING DIVISION,  
SPECIAL PROCESSING & CORRESPONDENCE BRANCH**

RECEIVED

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

JAN 4 2001

OFFICE OF PETITIONS

**NOTIFICATION OF CHANGE IN STATUS UNDER 37 C.F.R. § 1.28(B) AND  
PAYMENT OF FEE DEFICIENCIES UNDER 37 C.F.R. § 1.28(C)**

Sir:

Upon review of the claims as filed in the above-referenced application and the "field of use" clause in the application's assignee's license contract with a firm that is a large entity, it has come to the applicant's attention that the present application requires large entity status.

Pursuant to 37 C.F.R. § 1.28(b), Attorneys for Applicants hereby provide notification that Personalized Media Communications, L.L.C. ("PMC"), the assignee of the above referenced application Serial No. 08/447,974 does not qualify for small entity status under M.P.E.P. § 509.02 and 37 C.F.R. § 1.9 for paying reduced patent fees for the above referenced application and that the claim for small entity status for the above-referenced application Serial No. 08/447,974 is hereby withdrawn.

Enclosed herewith is assignee's check no. 3110 for the recalculated fees of \$913.00 to convert the above-referenced application from small to large entity status in accordance with 37 C.F.R. § 1.28(c). The following table identifies each of applicant's

Adjustment date: 01/09/2001	08/447,974	74.00	OP
01/05/2001 SLURANG	00000059	74.00	OP
05 FC:699		74.00	OP
01/09/2001 LGIBBS	00000028	74.00	OP
01 FC:197		74.00	OP
01/05/2001 SLURANG	00000059	74.00	OP
01 FC:101		74.00	OP
02 FC:102		74.00	OP
03 FC:103		74.00	OP
04 FC:117		74.00	OP
05 FC:699		74.00	OP

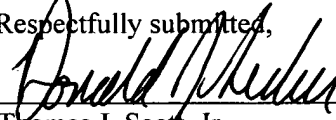
submissions to the Patent and Trademark Office ("PTO") necessitating the enclosed payment and the amount to bring the application in conformity to large entity status.

Description of Submission to PTO	Amount Deficient
New application filing:	\$365.00
Preliminary amendments:	\$39.00
Response to first action new claim fees:	\$44.00
Response to first action extension of time:	\$465.00
Response to second action new claim fees:	
Response to second action extension of time:	
Response to final action new claim fees:	
Response to final action extension of time:	
1.129(a) submission:	
<b>TOTAL</b>	<b>\$913.00</b>

Applicants respectfully request the records of the PTO be revised to indicate that the above-referenced application should now be considered the application of a large entity.

The Commissioner is hereby authorized to charge or credit the deposit account of **Hunton & Williams**, Deposit Account No. **50-0206**, for any insufficient funds or overpayments in connection with the filing of the instant papers.

Date: December 29, 2000  
**HUNTON & WILLIAMS**  
1900 K Street, N.W.  
12<sup>th</sup> Floor  
Washington, D.C. 20006

Respectfully submitted,  
  
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Reg. No. 41,933  
Attorneys for Applicants  
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# Transaction List

Search by

☐ Accounting Date:  Operator ID:   
(MMDDYY)



☒ Name/Number:

Accounting Date Starts: Ends:

☐ Attiny Docket No:

☐ Deposit Account No.:




Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
04/20/2000	NPETERSD	8	SALE	103	A	378.00	08447974	
04/20/2000	NPETERSD	7	SALE	102	A	312.00	08447974	
08/06/1998	SSALEEKU	67	SALE	117	A	950.00	08447974	
11/21/1996	290FB	4601	CRDA	203	A	44.00	08447974	
11/21/1996	290FB	4501	CRDA	217	A	465.00	08447974	
12/29/1995	280BA	2401	CRDA	202	A	39.00	08447974	
06/13/1995	100LM	3401	CRDA	201	A	365.00	08447974	

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OK

Adjustment Detail

Authorization Detail



1:41 PM

Adjustment Date: 01/05/2001 SLUANG1 Nm/Nb: 08447974  
12/29/1995 280BA 0000002401 FC:202 -39.00 0

Adjustment Date: 01/05/2001 SLUANG1 Nm/Nb: 08447974  
11/21/1996 290FB 0000004601 FC:203 -44.00 0

Adjustment Date: 01/05/2001 SLUANG1 Nm/Nb: 08447974  
06/13/1995 100LM 0000003401 FC:201 -365.00 0

Adjustment Date: 01/05/2001 SLUANG1 Nm/Nb: 08447974  
11/21/1996 290FB 0000004501 FC:217 -465.00 0